

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Neville Alleyne

Appl. No.

10/628,079

Filed

July 24, 2003

For

COMPRESSIBLE FIXATION

APPARATUS FOR SPINAL

SURGERY

Examiner

Unassigned

Group Art Unit:

3732

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

2/9/04

Thomas R. Arno, Reg. No. 40,490

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

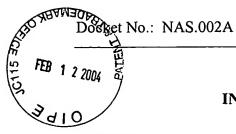
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eleven (11) references.
- (X) A copy of International Search Report.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Thomas R. Arno Registration No. 40,490 Attorney of Record Customer No. 20,995 (619) 235-8550

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INFORMATION DISCLOSURE STATEMENT

Applicant

Neville Alleyne

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10/628,079

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COMPRESSIBLE FIXATION APPRATUS

FOR SPINAL SURGERY

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3732

Commissioner for Patents P.O. Box 1450 Alexandria; VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eleven references that are also enclosed. Also enclosed is a copy of the International Search Report.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Datad.

2904

Bv:

Thomas R Arno

Registration No. 40,490

Attorney of Record

Customer No. 20,995

(619) 235-8550

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. NAS.002A APPLICATION NO. 10/628,079

IF BRMATION DISCLOSURE STATEMENT
BY APPLICANT

SE SEVERAL SHEETS IF NECESSARY)

APPLICANT Neville Alleyne

FILING DATE July 24, 2003 GROUP 3732

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	П	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2,333,033	10/26/43	Mraz			
ų.	2	5,180,380	01/19/93	Pursley et al.			
	3	5,672,177	09/30/97	Seldin			
	4	5,700,263	12/23/97	Schendel			
	5	5,902,304	05/11/99	Walker et al.			
	6	6,126,660	10/03/00	Dietz			
-	7	6,328,738 B1	12/11/01	Suddaby			
	8	6,402,756 B1	06/11/02	Ralph et al.			
	9	6,428,542 B1	08/06/02	Michelson			
	10	6,533,786 B1	03/18/03	Needham et al.			
	11	6,576,016 B1	06/10/03	Hochshuler et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINE R	DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUBCLAS S	TRANSLATION	
INITIAL		:	1			YES	NO
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EXAMINE R INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						

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EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.